Please type a plus sign (+) inside this box -Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/930,020 TRANSMITTAL Filing Date August 14, 2001 **FORM** First Named Inventor Gish, Kurt C. (to be used for all correspondence after initial filing) Group Art Unit **Examiner Name** Not yet assigned Total Number of Pages in This Submission Attorney Docket Number 018501-003100US ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information ļ) and Accompanying Petition ŧij Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application W Power of Attorney, Revocation Other Endosure(s) Extension of Time Request Change of Correspondence Address (please identify below): M **Terminal Disclaimer** Communication Regarding Substitute Express Abandonment Request Specification Under 37 CFR 1.52(b) (1 Request for Refund pg.); Substitute Specification (117 pgs.); FaF. Copy of Notice to File Missing Parts (2) Information Disclosure Statement CD, Number of CD(s) ΓIJ pgs.) Return Postcard The Commissioner is authorized to charge any additional fees to Ü Certified Copy of Priority Remarks Deposit Account 20-1430. Document(s) IJ Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Kevin, Bastian Reg. No. 34,774 Individual name Signature

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231 on this date:

November 13, 2001

November 13, 2001

Date

Typed or printed name | Jill R. Clarke

Nevember 13, 2001

Burden Hour Statement: This formus estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1291975 v1

Date

#3

I hereby certify that this correspond is being deposited with the United States Postal Service as first class than in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on November 13, 2001

Attorney Docket No.: 018501-003100US Client Ref. No.: COCA 007-1

TOWNSEND and TOWNSEND and CREW LLP

By: Jul L. Clarke

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GISH et al.

Application No.: 09/930,020

Filed: August 14, 2001

For: Methods of Diagnosis of Colorectal Cancer, Compositions and Methods of Screening for Colorectal Cancer

Modulators

Examiner:

not yet assigned

Art Unit:

not yet assigned

COMMUNICATION REGARDING SUBSTITUTE SPECIFICATION UNDER

37 C.F.R. § 1.52(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Responsive to a Notice to File Missing Parts mailed September 13, 2001, Applicants submit herewith a substitute specification in compliance with 37 C.F.R. § 1.52(b). The substitute specification includes no new matter.

To comply with 37 C.F.R. § 1.52(b), the substitute specification replaces single spacing with 1½ spacing in Tables 1 and 2 of the specification.

For the reasons discussed above, no new matter is introduced. If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at (415) 576-0200.

Respectfully submitted,

Reg. No. 34,774

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: (415) 576-0200

Fax: (415) 576-0300

jrc SF 1291893 v1

The street print area of the street print and the street print and the street print area of the street print and the street print are street pri





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.USDIO.GOV

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/930,020

08/14/2001

Kurt C. Gish

018501-000830US

CONFIRMATION NO. 2304

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER

OC000000006547947

Date Mailed: 09/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

James Clark March

N

ΪIJ

[]

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE